

Andrew M. Cuomo Governor 52 WASHINGTON STREET RENSSELAER, NY 12144

Sheila J. Poole Commissioner

Local Commissioners Memorandum

Transmittal:	20-OCFS-LCM-10	
To:	Local District Commissioners	
Issuing Division/Office:	Division of Administration/Bureau of Budget Management	
Date:	August 31, 2020	
Subject:	SFY 2020-21 Social Services Block Grant (Title XX) Allocations	
Contact Person(s):	See page 4.	
Attachments:	 Federal Fiscal Year (FFY) 2020 Social Services Block Grant (Title XX) Allocations as authorized by the SFY 2020-21 Budget Attachment A: Adult Protective and Domestic Violence Services Attachment B: All Other Eligible Title XX Services Attachment C: Attachment for U.S. Department of Health and Human Services Grants 	

I. Purpose

The purpose of this New York State Office of Children and Family Services (OCFS) Local Commissioners Memorandum (LCM) is to provide local departments of social services (LDSSs) with their Title XX Social Services Block Grant (SSBG) allocations for State Fiscal Year (SFY) 2020-21. There are no notable changes from last year's issuance of this LCM.

II. Background

SSBG (CFDA# 93.667) or Title XX of the Social Security Act (42 U.S.C. 1397 – 1397f) provides annual capped entitlement funding from the United States Department of Health and Human Services for a range of social services. In Federal Fiscal Year (FFY) 2020, New York State is expected to receive \$96,896,480, a net increase of \$264,145 from the prior year.

Approximately 5 percent of the total New York State allotment, or \$4,844,824, is allocated to support training activities for LDSSs and OCFS staff. The remaining amount of

\$92,051,656 is allocated to LDSSs. Chapter 53 of the Laws of 2020 directs that \$66,000,000 will continue to be provided for the reimbursement of LDSS expenditures for adult protective services (APS) and domestic violence (DV) services, and the remaining funding of \$26,051,656 will be available to reimburse other eligible Title XX services expenditures. The funds are available to reimburse LDSSs for eligible expenditures made from October 1, 2019, through September 30, 2020, and must be final accepted in the Automated Claiming System (ACS) by March 31, 2021.

III. Other Related 2020-21 Budget Actions

The SFY 2020-21 Enacted Budget continues to appropriate \$964 million of funding for the Flexible Fund for Family Services (FFFS) that provides LDSSs with the flexibility to determine local funding priorities. Under the FFFS, LDSSs have the option to transfer a portion of their FFFS allocation to the Title XX SSBG. Federal law requires that any FFFS funding transferred to the Title XX SSBG must be used for children and their families with incomes below 200 percent of the federal poverty level. Recipients of the Title XX below 200 percent funds also must be either U.S. citizens or qualified aliens as defined by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

For information on what LDSSs can use their FFFS allocation to fund, please refer to the annual FFFS Administrative Directive (ADM) from the New York State Office of Temporary and Disability Assistance (OTDA) located at:

http://otda.ny.gov/policy/directives/2019/ADM/19-ADM-06.pdf

IV. Title XX Social Services Block Grant Methodology

The Title XX allocations provide \$66,000,000 for the reimbursement of LDSS expenditures for APS and DV services, \$26,051,656 for the reimbursement of other eligible Title XX services expenditures for a total \$92,051,656. The allocation methodology is as follows:

Adult Protective and Domestic Violence Services (Attachment A): In accordance with appropriation language, \$66,000,000 is set aside to provide 100 percent reimbursement to LDSSs for eligible Title XX services expenditures for APS and for residential services for victims of DV who are not in receipt of public assistance during the time they are in a residential program, and for non-residential services for victims of DV (collectively "DV services") incurred on or after October 1, 2019, through September 30, 2020, and claimed by March 31, 2021, for settlement purposes. Allocation of this funding is based on each LDSS's share of claims for those services, net of any retroactive payments, for the 12-month period ending June 30, 2019, that were submitted on or before January 2, 2020.

If an LDSS does not fully expend its allocation, the funds may be reallocated to other LDSSs with eligible claims that exceed their allocations. Eligible APS and DV services expenditures in excess of the allocation are eligible for state reimbursement at the rate of 98 percent of 50 percent (or 49 percent) after any additional federal reimbursement is applied.

It should be noted that FFFS funds also are available to cover costs eligible for Title XX below 200 percent. An LDSS can elect to transfer a portion of its FFFS funds allocation to Title XX, to fund APS and DV services **for children and their families** with incomes less than 200 percent of the federal poverty income level for the family.

All Other Eligible Title XX Services (Attachment B): The remaining funding amount of \$26,051,656 may be used to reimburse other eligible Title XX services expenditures incurred on or after October 1, 2019, through September 30, 2020, and claimed by March 31, 2021, for settlement purposes. The allocation of these funds is based on each LDSS's claims for eligible Title XX services for the 12-month period ending June 30, 2019, that was submitted on or before January 2, 2020. The allocation methodology for these funds does not consider APS and DV services, as those claims were considered in allocating the \$66,000,000 set aside mentioned above. Although the allocation of these Title XX funds did not include claims for APS and DV services, these funds may be used to reimburse the costs of such services. The allocation methodology considers claims for preventive services, child protective services, aftercare services, and adoption services (including post-adoption services).

If an LDSS does not fully expend its allocation, the funds may be reallocated to other LDSSs with eligible claims that exceed their allocations. Title XX eligible services expenditures in excess of the allocation, other than APS, DV and child welfare services, are <u>not</u> eligible for state reimbursement.

V. Claiming

Expenditures for allocations included in this publication are claimed as follows:

Title XX	Services for Recipients	Schedule G	LDSS-1372
	Administrative Expenditures	Schedule D2	LDSS-2347-B
	Training	Schedule D6	LDSS-2347-C

Instructions for claiming services for recipients can be found in the *Fiscal Reference Manual*, Volume 2, Chapter 3. Instructions for claiming administrative expenditures can be found in the *Fiscal Reference Manual*, Volume 3, Chapter 9. Instructions for claiming training expenditures can be found in the *Fiscal Reference Manual*, Volume 3, Chapter 13. The *Fiscal Reference Manual* is available at http://otda.ny.gov/resources/fiscal-reference/.

VI. Contacts

Questions pertaining to the allocations may be directed to:

Shonna Clinton, Local Operations Manager, Bureau of Budget Management, (518) 474-1361
Shonna.Clinton@ocfs.ny.gov

Questions pertaining to the FFFS Plan and Fiscal Claiming may be directed to the Office of Temporary and Disability Assistance:

FFFS Plan:

Emily Maher, (518) 474-9313 Emily.Maher@otda.ny.gov

Automated Claiming System

Regions 1-5: Lauren Horn, (518) 474-7549 otda.sm.Field Ops.I-IV@otda.ny.gov

Regions 6: Michael Simon, (212) 961-8250 Michael.Simon@otda.nv.gov

/s/ Derek J. Holtzclaw

Issued by:

Name: Derek J. Holtzclaw

Title: Deputy Commissioner for Administration

Division/Office: Administration

Attachment A Title XX FFY 2020 Adult Protective and Domestic Violence Services

District	Allocation	District	Allocation
Albany	1,054,637	Ontario	130,685
Allegany	64,343	Orange	707,387
Broome	304,842	Orleans	46,136
Cattaraugus	110,551	Oswego	202,053
Cayuga	171,952	Otsego	112,585
Chautauqua	187,963	Putnam	93,420
Chemung	220,824	Rensselaer	183,530
Chenango	87,934	Rockland	576,641
Clinton	159,957	Saratoga	153,824
Columbia	137,474	Schenectady	317,371
Cortland	108,275	Schoharie	63,438
Delaware	136,857	Schuyler	38,589
Dutchess	890,422	Seneca	62,021
Erie	1,429,138	Steuben	151,153
Essex	94,844	Saint Lawrence	176,105
Franklin	80,336	Suffolk	1,920,976
Fulton	61,288	Sullivan	149,341
Genesee	64,026	Tioga	67,908
Greene	90,554	Tompkins	118,049
Hamilton	5,202	Ulster	319,474
Herkimer	75,170	Warren	79,151
Jefferson	186,810	Washington	67,732
Lewis	42,927	Wayne	90,222
Livingston	112,889	Westchester	2,454,859
Madison	134,914	Wyoming	28,122
Monroe	803,650	Yates	28,079
Montgomery	65,340		
Nassau	1,165,259	Upstate	17,460,702
Niagara	257,871		
Oneida Onondaga	261,758 553,844	New York City	48,539,298
Onondaya	JJJ,0 44	Statewide	66,000,000

Attachment B Title XX FFY 2020 All Other Eligible Title XX Services

District	Allocation	District	Allocation
Albany	127,984	Ontario	55,111
Allegany	29,994	Orange	318,907
Broome	115,275	Orleans	18,770
Cattaraugus	37,045	Oswego	96,489
Cayuga	33,342	Otsego	34,982
Chautauqua	62,583	Putnam	50,269
Chemung	107,900	Rensselaer	67,534
Chenango	21,472	Rockland	168,515
Clinton	77,025	Saratoga	59,809
Columbia	59,308	Schenectady	180,532
Cortland	33,692	Schoharie	58,175
Delaware	47,090	Schuyler	18,415
Dutchess	230,624	Seneca	27,630
Erie	504,462	Steuben	65,117
Essex	31,940	Saint Lawrence	51,397
Franklin	36,872	Suffolk	562,986
Fulton	24,498	Sullivan	89,506
Genesee	21,778	Tioga	27,059
Greene	30,142	Tompkins	50,116
Hamilton	2,196	Ulster	121,251
Herkimer	23,720	Warren	24,454
Jefferson	66,023	Washington	29,657
Lewis	13,627	Wayne	60,779
Livingston	42,630	Westchester	1,134,574
Madison	33,960	Wyoming	11,353
Monroe	275,309	Yates	12,852
Montgomery	27,381		
Nassau	643,118	Upstate	6,760,606
Niagara	146,991		
Oneida Onondaga	117,057 339,329	New York City	19,291,050
	,	Statewide	26,051,656

Attachment C

ATTACHMENT FOR U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES GRANTS

Title 45 U.S. Code of Federal Regulations Part 75 (45 CFR 75), *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards*, section 352 states "all pass-through entities must ensure that every subaward is clearly identified to the subrecipient as a subaward and includes the following information at the time of the subaward and if any of these data elements change, includes the changes in subsequent subaward identification."

(i)	Subrecipient Name	Attachment A and B
(ii)	Subrecipient's unique entity identifier	County LDSS office
(iii)	Federal Award Identification Number (FAIN)	2001NYSOSR
(iv)	Federal Award Date of award to the recipient by the HHS awarding agency	11/8/2019
(v)	Subaward period of Performance start and end date	Section 2: Background
(vi)	Amount of Federal Funds Obligated to the subrecipient by this action by the pass-through entity to the subrecipient	Attachment A and B
(vii)	Total amount of the Federal funds obligated to the subrecipient by the pass-through entity including the current obligation	Attachment A and B
(viii)	Total amount of the award committed to the subrecipient by the pass-through entity	Attachment A and B
(ix)	Federal award project description	Section 2: Background
(x)	Name of the HHS awarding agency, pass-through entity, and contract information for awarding official of the pass-through entity	Section 2: Background
(xi)	CFDA Number and Name	93.667- Social Services Block Grant
(xii)	Identification of whether the award is Research and Development (R&D)	N
(xiii)	Indirect cost rate for the Federal award (including if the de minimus rate is charged per section 75.414)	Please see uniform guidance 45 CFR 75.