



Report Identification Number: AL-18-025

Prepared by: New York State Office of Children & Family Services

Issue Date: Apr 12, 2019

This report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns:

- A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
- The death of a child for whom child protective services has an open case.
- The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
- The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child’s family; any extraordinary or pertinent information concerning the circumstances of the child’s death; whether the child or the child’s family received assistance, care or services from the social services district prior to the child’s death; any action or further investigation undertaken by OCFS or the social services district since the child’s death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child’s household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may **only** be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. **It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child’s siblings or other children in the household.**

OCFS’ review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS’ assessment and the performance of these agencies.



Abbreviations

Relationships		
BM-Biological Mother	SM-Subject Mother	SC-Subject Child
BF-Biological Father	SF-Subject Father	OC-Other Child
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle
FM-Foster Mother	SS-Surviving Sibling	PS-Parent Sub
CH/CHN-Child/Children	OA-Other Adult	
Contacts		
LE-Law Enforcement	CW-Case Worker	CP-Case Planner
Dr.-Doctor	ME-Medical Examiner	EMS-Emergency Medical Services
DC-Day Care	FD-Fire Department	BM-Biological Mother
CPS-Child Protective Services		
Allegations		
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse
CD/A-Child's Drug/Alcohol Use	LMC-Lack of Medical Care	EdN-Educational Neglect
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision
Ab-Abandonment	OTH/COI-Other	
Miscellaneous		
IND-Indicated	UNF-Unfounded	SO-Sexual Offender
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence
LDSS-Local Department of Social Service	ACS-Administration for Children's Services	NYPD-New York City Police Department
PPRS-Purchased Preventive Rehabilitative Services	TANF-Temporary Assistance to Needy Families	FC-Foster Care
MH-Mental Health	ER-Emergency Room	COS-Court Ordered Services
OP-Order of Protection	RAP-Risk Assessment Profile	FASP-Family Assessment Plan
FAR-Family Assessment Response	Hx-History	Tx-Treatment
CAC-Child Advocacy Center	PIP-Program Improvement Plan	yo- year(s) old
CPR-Cardiopulmonary Resuscitation		



Case Information

Report Type: Child Deceased
Age: 1 year(s)

Jurisdiction: Greene
Gender: Male

Date of Death: 10/08/2018
Initial Date OCFS Notified: 10/12/2018

Presenting Information

On 10/09/18, a 7065 Agency Reporting Form was submitted to the Albany Regional Office regarding the child's death. On 10/06/18, at around 7:00AM, the father found the child unresponsive in his crib. The mother called 911 while the father performed CPR until first responders arrived and took over. The child was taken to the hospital and died on 10/8/18.

Executive Summary

This fatality report concerns the death of the 1-year-old male subject child who died on 10/8/18. The child died during an open CPS investigation that was received by Greene County Department of Social Services (GCDSS) on 10/6/18. The initial 10/6/18 report had concerns the family's home was in deplorable condition and drug paraphernalia was accessible to the child and his siblings, ages 12, 15, and 17. A 7065 Agency Reporting Form was completed and sent to OCFS on 10/9/18.

The parents explained that the child was ill in the weeks prior to his death, was diagnosed with a medical condition, wheezed and was prescribed medication that the parents were administering as directed. On the night prior to the fatal incident, he appeared healthy and was acting normally. At about 7:00AM, the father checked on the child, who was laid face-down in his crib, amongst a blanket and a teddy bear. The father noticed the child was not breathing and his lips were blue. He performed CPR on the child while the mother called 911.

EMS responded and resuscitation efforts were continued as the child was transported to the hospital via ambulance. The child was on life support and believed to have had a respiratory viral infection that caused cardiac arrest. The child had a brain bleed and his kidneys and liver were failing. On 10/8/18, the child was taken off life support and pronounced dead. The preliminary cause of death was sepsis and the manner remained unknown. At the time this report was written, a final autopsy report had not been issued.

At the time of his death, the child resided with his parents, and three of his siblings, who were the mother's children. The father had two additional children, ages 13 and 15 years, who resided with their mother in Columbia County, did not have regular contact with the deceased child and had not been to the child's home in eight months. During the investigation, with exception of the 15-year-old sibling who resided in Columbia County, the children were assessed to be safe in the care of their parents.

GCDSS coordinated investigative efforts with law enforcement, completed a CPS history check, and obtained information from law enforcement, the source of the report, EMS, friends of the family, hospital staff, school staff and the pediatrician. The case record did not reflect if the child's death resulted in any criminal charges.

Findings Related to the CPS Investigation of the Fatality

Safety Assessment:



- Was sufficient information gathered to make the decision recorded on the:

- Safety assessment due at the time of determination? Yes

Determination:

- Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation? N/A
- Was the determination made by the district to unfound or indicate appropriate? N/A

Was the decision to close the case appropriate? Yes

Was casework activity commensurate with appropriate and relevant statutory or regulatory requirements? No

Was there sufficient documentation of supervisory consultation? Yes, the case record notes a consultation took place, but no details noted.

Explain:

The decision to close the case was appropriate.

Required Actions Related to the Fatality

Are there Required Actions related to the compliance issue(s)? Yes No

Fatality-Related Information and Investigative Activities

Incident Information

Date of Death: 10/08/2018

Time of Death: 03:56 AM

Date of fatal incident, if different than date of death:

10/06/2018

Time of fatal incident, if different than time of death:

Unknown

County where fatality incident occurred:

Greene

Was 911 or local emergency number called?

Yes

Time of Call:

Unknown

Did EMS respond to the scene?

Yes

At time of incident leading to death, had child used alcohol or drugs?

No

Child's activity at time of incident:

Sleeping

Working

Driving / Vehicle occupant

Playing

Eating

Unknown

Other

Did child have supervision at time of incident leading to death? Yes



At time of incident supervisor was: Not impaired.

Total number of deaths at incident event:

Children ages 0-18: 1

Adults: 0

Household Composition at time of Fatality

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Deceased Child	No Role	Male	1 Year(s)
Deceased Child's Household	Father	No Role	Male	42 Year(s)
Deceased Child's Household	Mother	No Role	Female	36 Year(s)
Deceased Child's Household	Sibling	No Role	Male	17 Year(s)
Deceased Child's Household	Sibling	No Role	Male	15 Year(s)
Deceased Child's Household	Sibling	No Role	Female	12 Year(s)
Other Household 1	Other Adult - Father of SS	No Role	Male	36 Year(s)
Other Household 1	Sibling	No Role	Male	14 Year(s)
Other Household 1	Sibling	No Role	Male	13 Year(s)

LDSS Response

On 10/6/18, GCDSS received an SCR report with concerns the subject child's (SC) home was in deplorable condition, and had drug paraphernalia accessible to the child and three of his siblings. The report included concerns of the SC's pre-existing respiratory health concerns and the home was alleged to have had mold and an overwhelming odor of cat urine. Although the child was alive at the time the SCR report was received he was on life support. There were no obvious signs of trauma to the SC.

GCDSS immediately began the investigation and coordinated efforts with LE. GCDSS gathered information from medical staff, first responders, and relatives.

While at the hospital, the father (BF) explained the events of the day prior to the child's hospitalization. According to the BF, the SC acted normally and played during the day and went to sleep around 8:30PM. He said the SC had been ill a few weeks prior and was prescribed medical treatment. The parents were administering the medication as prescribed and the SC appeared to be responding well to treatment. On the morning of 10/6/18, at around 7:00AM, the parents noticed the SC was still sleeping, but attributed it to his activity the day prior. The BF said he checked on the SC, and found him face-down in his crib, not breathing and his lips were blue. He said he attempted CPR on the SC, and yelled for the mother (BM) to call 911. The BF said he blew into the SC's mouth until LE arrived and took over resuscitation efforts.

The BM heard the SC mumbling around 6:30AM, and thought he was waking up. She said the SC was diagnosed with a respiratory concern which flared up when he had a cold or a runny nose. She noted there was wheezing heard in his chest when he was ill a few weeks prior. The SC was admitted to the hospital for medical issues with respiratory ailments nearly a year prior to 10/6/18.

LE and CW were informed by a doctor the SC had a multiple serious medical issues that caused cardiac arrest, which led to loss of oxygen. On 10/8/18, GCDSS learned from hospital staff that the SC was declared brain-dead, and the parents were preparing to withdraw care.



On 10/9/18, GCDSS learned the SC was pronounced dead at 3:56AM. CW conducted a home visit and offered services of the mother including mental health counseling, mobile crisis, health insurance, and funeral assistance. The family was given information on grief counseling as well as addition services; the BF had a history of marijuana use. During the home visit, the home was found to meet minimum standards, did not have visible mold and presented no safety concerns. There were no drugs or drug paraphernalia observed. The SC’s crib was observed to have a blanket and a teddy bear.

GCDSS obtained medical records from the hospital and the SC’s pediatrician. Records showed the SC was seen at Urgent Care on 9/25/18 for breathing concerns, and was scheduled for a follow-up appointment on 10/8/18. The pediatrician noted no concerns for the SC’s care.

The three siblings who resided with the SC were visiting their father when the fatal incident occurred. They were assessed to be safe during the investigation. The children said that both parents gave the SC medication, and had no concerns for the SC’s care. The BF had two children who resided with their mother in Columbia County. Although attempts were made to assess both children, the 15-year-old sibling was not seen or spoken to, and his safety was not documented to have been assessed; however, the father’s children did not have regular contact with the SC, or visit the SC’s household. There were no concerns noted for the other siblings.

An autopsy was preformed, but the final autopsy report was not received at the time this report was written. The preliminary cause of death was sepsis and the manner of death was not noted.

Official Manner and Cause of Death

Official Manner: Pending

Primary Cause of Death: Unknown

Person Declaring Official Manner and Cause of Death: Unknown

Multidisciplinary Investigation/Review

Was the fatality reviewed by an OCFS approved Child Fatality Review Team?No

Comments: The fatality was not reviewed by an OCFS-approved Child Fatality Review Team.

CPS Fatality Casework/Investigative Activities

	Yes	No	N/A	Unable to Determine
All children observed?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
When appropriate, children were interviewed?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contact with source?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
All appropriate Collaterals contacted?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was a death-scene investigation performed?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Coordination of investigation with law enforcement?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there timely entry of progress notes and other required documentation?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Child Fatality Report

Fatality Safety Assessment Activities

	Yes	No	N/A	Unable to Determine
Were there any surviving siblings or other children in the household?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there an adequate assessment of impending or immediate danger to surviving siblings/other children in the household named in the report:				
Within 24 hours?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
At 7 days?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
At 30 days?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there an approved Initial Safety Assessment for all surviving siblings/ other children in the household within 24 hours?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Are there any safety issues that need to be referred back to the local district?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

When safety factors were present that placed the surviving siblings/other children in the household in impending or immediate danger of serious harm, were the safety interventions, including parent/caretaker actions adequate?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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Explain:
 There was not documentation regarding a safety/risk assessment of the surviving siblings within the first 7 days of the investigation. Although these siblings did not have regular contact with the child, one of the siblings was not seen during the investigation.

Fatality Risk Assessment / Risk Assessment Profile

	Yes	No	N/A	Unable to Determine
Was the risk assessment/RAP adequate in this case?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there an adequate assessment of the family's need for services?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Were appropriate/needed services offered in this case	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Explain:
 Several referrals were made as a result of identified service needs. Service referrals included HEAP assistance, burial assistance, mobile crisis, mental health counseling, grief counseling and health insurance.

Placement Activities in Response to the Fatality Investigation



	Yes	No	N/A	Unable to Determine
Did the safety factors in the case show the need for the surviving siblings/other children in the household be removed or placed in foster care at any time during this fatality investigation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Were there surviving children in the household that were removed either as a result of this fatality report / investigation or for reasons unrelated to this fatality?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Explain as necessary: There was no concern that the children needed to be removed from their homes.				

Legal Activity Related to the Fatality

Was there legal activity as a result of the fatality investigation? There was no legal activity.

Services Provided to the Family in Response to the Fatality

Services	Provided After Death	Offered, but Refused	Offered, Unknown if Used	Not Offered	Needed but Unavailable	N/A	CDR Lead to Referral
Bereavement counseling	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Economic support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Funeral arrangements	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Housing assistance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Mental health services	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foster care	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Health care	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Legal services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Family planning	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Homemaking Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Parenting Skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Domestic Violence Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Early Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Alcohol/Substance abuse	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Child Care	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Intensive case management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Family or others as safety resources	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, specify: Multitude of Services							

**Additional information, if necessary:**

The family was connected to several community based service providers including Mobile Crisis, Wave Riders, and Twin Counties.

Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality? Yes**Explain:**

The children engaged in counseling.

Were services provided to parent(s) and other care givers to address any immediate needs related to the fatality? Yes**Explain:**

The parents engaged in counseling.

History Prior to the Fatality**Child Information**

Did the child have a history of alleged child abuse/maltreatment?	Yes
Was there an open CPS case with this child at the time of death?	Yes
Was the child ever placed outside of the home prior to the death?	No
Were there any siblings ever placed outside of the home prior to this child's death?	No
Was the child acutely ill during the two weeks before death?	Yes

CPS - Investigative History Three Years Prior to the Fatality

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
10/06/2018	Deceased Child, Male, 1 Years	Mother, Female, 35 Years	Inadequate Guardianship	Unsubstantiated	Yes
	Deceased Child, Male, 1 Years	Mother, Female, 35 Years	Parents Drug / Alcohol Misuse	Unsubstantiated	
	Deceased Child, Male, 1 Years	Father, Male, 42 Years	Inadequate Guardianship	Unsubstantiated	
	Deceased Child, Male, 1 Years	Father, Male, 42 Years	Parents Drug / Alcohol Misuse	Unsubstantiated	

Report Summary:

An SCR report alleged the subject child suffered from a medical condition and was following prescribed medical treatment. The mother and father's residence was unsafe and in deplorable living condition. The carpets were excessively dirty, mold was on the floors and the walls were discolored, and there was an overwhelming stench of cat urine. There was a marijuana grinder that contained marijuana residue on the table. There was a large glass marijuana smoking device located near the child's crib.

Report Determination: Unfounded

Date of Determination: 12/21/2018

**Basis for Determination:**

GCDSS conducted an investigation and did not find the home to be in deplorable condition at any point in the investigation. The condition of the home did not appear to be a contributing factor in the child's death. The father admitted to smoking marijuana, but not in the presence of the children. He was referred for a drug evaluation, which he refused. The parents never appeared to be under the influence of drugs or alcohol, and there were never any drugs or drug paraphernalia observed. GCDSS obtained information from the pediatrician that the child was up to date with medical appointments and the parents sought medical attention when he was ill.

OCFS Review Results:

GCDSS conducted interviews with family members that were documented clearly and were all inclusive of possible safety and risk factors. Although the 7-day Safety Assessment was completed and approved timely, there was not documentation of the surviving sibling's safety within 7 days of the receipt of the report.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:

Timely/Adequate Seven Day Assessment

Summary:

Although the safety of the siblings who resided in the home with the subject child was assessed during the investigation, safety/risk assessments were not documented within the first seven days.

Legal Reference:

SSL 424(3);18 NYCRR432.2(b)(3)(ii)(c)

Action:

GCDSS will clearly document assessment of safety and risk of all children in the household within the required timeframe of 7 days.

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
01/08/2018	Sibling, Male, 11 Years	Other Adult - BF of SS, Female, 34 Years	Inadequate Guardianship	Far-Closed	Yes
	Sibling, Male, 11 Years	Other Adult - BF of SS, Female, 34 Years	Inadequate Food / Clothing / Shelter	Far-Closed	

Report Summary:

An SCR report alleged the mother to the 13 and 14-year-old siblings failed to provide the 13-year-old with adequate care and her decision making skills regarding the child's safety were flawed. On 1/5/18, the child was walking outside without weather appropriate clothing. The child became cold and cried. He asked strangers for assistance and his feet were red, painful and cold. The mother was given the address where the child was, but refused to get out of her car and drove off without the child. The mother did not make a plan for the child and left the child with the strangers, and relied on the strangers to bring the child home.

OCFS Review Results:

CCDSS completed the 7-day Safety Assessment was completed accurately and timely. Although completed, the FLAG did not appear to include all family members as the MGF was not involved in the case, despite being on the report. Notices of FAR were sent to all adults in a timely manner. There was not documentation the concerns within the report were discussed with the children.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:

FAR-Failure to Engage the Family

Summary:



Although documentation includes the FLAG being completed with the mother and children, the record does not include any information that the children's MGF was involved in the case, and no information was documented to have been gathered from him. There was no documentation the concerns of the report were addressed with the children.

Legal Reference:

18 NYCRR 432.13 (e)(2)(iii)

Action:

CCDSS will engage children and adults in discussions whenever possible in an effort to elicit key information surrounding safety and risk.

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
10/06/2017	Deceased Child, Male, 5 Months	Mother, Female, 34 Years	Lack of Supervision	Unsubstantiated	Yes

Report Summary:

An SCR report alleged on 9/26/17, the mother's boyfriend fixed her car at her place of employment. The boyfriend brought her adult nephew, and her 4-month-old child with him. The boyfriend left the 4-month-old child in the car unattended and unsupervised for approximately 1 hour. The mother was aware of this, but failed to intervene or protect the child.

Report Determination: Unfounded

Date of Determination: 11/09/2017

Basis for Determination:

GCDSS completed an investigation that included interviews with family members and a discussion with a relative. The investigation revealed that either the mother or the 16yo SS were in the car with the baby while the car was worked on.

OCFS Review Results:

GCDSS completed the 7-day safety assessment timely and a CPS history check was noted. There was no documentation the subject child's father was interviewed, despite being directly involved with the reported concerns. There was no documentation the father of the sibling was contacted. Aside from a relative was visiting the home, collateral contacts were not made. Attempts were not made to contact the biological parents of the mother's niece, despite the niece living in the home.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:

Contact/Information From Reporting/Collateral Source

Summary:

Although collaterals were contacted, the record did not reflect GCDSS contacted collaterals who may have relevant information regarding the allegations. Relevant contacts may include family, friends, or neighbors who see the family regularly and are familiar with the family's dynamics.

Legal Reference:

18 NYCRR 432.2(b)(3)(ii)(b)

Action:

GCDSS will document all contact with collateral contacts, as well as any attempts to contact collateral contacts who may have relevant information regarding the family and the family's functioning.

Issue:

Adequacy of face-to-face contacts with the child and/or child's parents or guardians

Summary:

The record did not reflect interviews were completed with the father of the SS or the child. Parents of a minor cousin who lived in the home were not notified or interviewed regarding the report.

**Legal Reference:**

432.1 (o)

Action:

GCDSS will make casework contacts in accordance with the following regulation: Casework contacts mean face-to-face contacts with a child and/or a child's parents or guardians, or activities with the child and/or the child's parents or guardians, which may include but are not limited to facilitating information gathering and analysis of safety and risk factors and determining the allegations.

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
05/14/2017	Sibling, Male, 10 Years	Other Adult - BF of SS, Female, 33 Years	Inadequate Guardianship	Unsubstantiated	No
	Sibling, Male, 11 Years	Other Adult - BF of SS, Female, 33 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 11 Years	Other Adult - BF of SS, Female, 33 Years	Choking / Twisting / Shaking	Unsubstantiated	
	Sibling, Male, 11 Years	Other Adult - BF of SS, Female, 33 Years	Educational Neglect	Unsubstantiated	
	Sibling, Male, 11 Years	Other Adult - BF of SS, Female, 33 Years	Parents Drug / Alcohol Misuse	Unsubstantiated	

Report Summary:

An SCR report alleged the mother of the 13-year-old and 14-year-old SS was highly intoxicated on alcohol when she engaged in an argument with the 13-year-old SS. The child became upset and ran away from the home. The mother was intoxicated to the point that she did not realize the child had left the residence. He was eventually located by law enforcement. The child did not feel safe inside the home and a plan was made to have the child's father take him for the night.

Report Determination: Unfounded**Date of Determination:** 07/24/2017**Basis for Determination:**

CCDSS unsubstantiated the allegations within the report as there was no credible evidence revealed. During the investigation, it was learned the mother drank alcohol, but not to the point of intoxication, and the child was angry with her for taking away his cell phone. The child did not have any L/B/W, and ran away from home because he was angry. The child became physically aggressive toward the family and police were called and brought him to the ER. The family continued their open FSS, and the child was enrolled in mental health counseling.

OCFS Review Results:

CCDSS completed a CPS history check, contacted the source of the report, and completed the 7-day Safety Assessment timely and accurately. The interviews with the family gathered sufficient information to assess safety and risk of the children, and documentation was thorough. CCDSS continued to work with the family to prevent placement of the SS due to his unruly behaviors.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
02/15/2017	Sibling, Male, 14 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated	Yes



Sibling, Male, 12 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 12 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Other Child - No relation, Female, 15 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Other Child - no relation, Female, 14 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 14 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 12 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 12 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - No relation, Female, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - no relation, Female, 14 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Mother, Female, 33 Years	Sexual Abuse	Unsubstantiated
Other Child - No relation, Female, 15 Years	Mother, Female, 33 Years	Sexual Abuse	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Sexual Abuse	Unsubstantiated
Other Child - No relation, Female, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Sexual Abuse	Unsubstantiated
Sibling, Male, 12 Years	Mother, Female, 33 Years	Lack of Supervision	Unsubstantiated
Sibling, Male, 14 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 12 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 12 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Other Child - No relation, Female, 15 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Other Child - no relation, Female, 14 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 14 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated



Sibling, Male, 12 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 12 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - No relation, Female, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Other Child - no relation, Female, 14 Years	Other Adult - BM of SS PS, Male, 39 Years	Inadequate Guardianship	Unsubstantiated
Sibling, Male, 14 Years	Mother, Female, 33 Years	Parents Drug / Alcohol Misuse	Unsubstantiated
Sibling, Male, 12 Years	Mother, Female, 33 Years	Parents Drug / Alcohol Misuse	Unsubstantiated
Sibling, Male, 12 Years	Mother, Female, 33 Years	Parents Drug / Alcohol Misuse	Unsubstantiated
Other Child - no relation, Female, 14 Years	Mother, Female, 33 Years	Parents Drug / Alcohol Misuse	Unsubstantiated
Sibling, Male, 14 Years	Mother, Female, 33 Years	Childs Drug / Alcohol Use	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Mother, Female, 33 Years	Sexual Abuse	Unsubstantiated
Other Child - No relation, Female, 15 Years	Mother, Female, 33 Years	Sexual Abuse	Unsubstantiated
Other Child - OA1 CH, Male, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Sexual Abuse	Unsubstantiated
Other Child - No relation, Female, 15 Years	Other Adult - BM of SS PS, Male, 39 Years	Sexual Abuse	Unsubstantiated

Report Summary:

Four SCR reports were made, dated 2/15/17, 3/21/17, 3/28/17, and 4/17/17 that were consolidated. The reports alleged the mother of the siblings had a physical altercation with the then 14-year-old sibling regarding a cell phone, and the child was scratched and bitten by the mother. The mother had been drinking, but was not drunk. Two of the reports concerned the mother's drug and alcohol abuse in the presence of the children, and she threw and broke items. A report dated 3/28/17 alleged the mother's partner engaged in sexual intercourse with a 15-year-old child, and that child was also sexually active with another child and both parents were aware and failed to intervene.

Report Determination: Unfounded**Date of Determination:** 05/05/2017**Basis for Determination:**

CCDSS unsubstantiated the allegations of the reports. CCDSS noted the mother had become physical with the child regarding a phone, but only in self defense. The mother contacted the police as a result of the altercation. Although the mother denied excessive drinking and drug use, the children did report concerns, but were unable to identify a negative impact and there was always a sober caretaker for the children. The sexual abuse allegations against the mother's partner was denied by the family; however, the 15-year-old child stated she had a sexual relationship with the mother's partner's son, who was also a child. There was no evidence that the parents knew of the sexual relationship.

OCFS Review Results:

CCDSS did not complete the 7-day Safety Assessment timely. Although written notice of the report was provided to the mother and father in the initial report, no other adults were provided with written notice for the initial report, or any subsequent reports. There were no documented attempts to contact or interview all biological parents regarding the



reports. There was a predetermination of safety and risk; however, it was incomplete as not all of the homes of the children were assessed for safety, one child was not seen or interviewed, and one child was interviewed via telephone and sexual abuse concerns were not included in the conversation.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:
Failure to provide notice of report

Summary:
Although the mother of the SS and the father received written notice of the initial report timely, they did not receive written notice for the three subsequent reports. The record did not reflect the parents of the other children listed on the report were provided with written notice of the subsequent reports.

Legal Reference:
18 NYCRR 432.2(b)(3)(ii)(f)

Action:
CCDSS will make efforts to contact absent parents of children named in a report and to provide written notice within 7 days of receipt of the report. CCDSS will make diligent attempts to provide written notice to all adults listed on all SCR reports with accordance to regulation.

Issue:
Face-to-Face Interview (Subject/Family)

Summary:
There were two children on the report who were not seen, and one of the children was not interviewed or attempted to be interviewed. A phone interview took place with one of the children 27 days after the receipt of the report. The record did not reflect the child was interviewed regarding the sexual abuse allegations of which he was an alleged victim.

Legal Reference:
18 NYCRR 432.2(b)(3)(ii)(a)

Action:
A full Child Protective investigation shall include face-to-face interviews with subjects of the report and family members of such subjects, including children named in the report. Such interviews or reasons why an interview was not possible should be documented in progress notes.

Issue:
Timely/Adequate Seven Day Assessment

Summary:
Although completed timely, the 7-day Safety assessment was not approved until 5 days after the due date.

Legal Reference:
SSL 424(3);18 NYCRR432.2(b)(3)(ii)(c)

Action:
CCDSS will complete all safety assessments in accordance with regulations.

Issue:
Pre-Determination/Home Visit

Summary:
The case was determined prior to an adequate assessment of the child's living environment. The homes of five of the children listed on the report were not assessed or attempted to be assessed during the investigation.

Legal Reference:
18 NYCRR 432.2(b)(3)(iii)(a)

Action:
Prior to a determination being made, the investigation must include one home visit so as to evaluate the environment of the child named in the report as well as other children in the same home.



Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
11/30/2016	Sibling, Male, 15 Years	Other Adult - BF of SS, Male, 34 Years	Educational Neglect	Unsubstantiated	Yes
	Sibling, Male, 15 Years	Other Adult - BF of SS, Male, 34 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 15 Years	Mother, Female, 34 Years	Educational Neglect	Unsubstantiated	
	Sibling, Male, 15 Years	Mother, Female, 34 Years	Inadequate Guardianship	Unsubstantiated	

Report Summary:

An SCR report alleged the parents were aware that the 15yo SS missed 18 days of school during the 2016-2017 school year and was failing. The parents had been notified through phone calls and letters. They were unable to get the 15yo SS to attend school. The child had a history of poor attendance. The 13yo and 10yo siblings had unknown roles.

Report Determination: Unfounded

Date of Determination: 02/03/2017

Basis for Determination:

GCDSS unfounded the report against the parents due to the mother agreeing to referring the child for a Pre-Person In Need of Supervision program.

OCFS Review Results:

GCDSS contacted the source of the report and appropriate collaterals. A 7-day Safety Assessment was completed timely. Interviews with the family were not all inclusive relating to possible safety and risk factors. A CPS history check was not completed timely.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:

Face-to-Face Interview (Subject/Family)

Summary:

Although the father was interviewed over the phone, the full context of the interview was not documented. The record did not reflect the children were interviewed regarding some safety and risk factors.

Legal Reference:

18 NYCRR 432.2(b)(3)(ii)(a)

Action:

A full Child Protective investigation shall include face-to-face interviews with subjects of the report and family members of such subjects, including children named in the report. Such interviews or reasons why an interview was not possible should be documented in progress notes.

Issue:

Review of CPS History

Summary:

A history check for the family was not completed until 4 days after the receipt of the report.

Legal Reference:

18 NYCRR 432.2(b)(3)(i)

Action:

Within 1 business day of a report, GCDSS must review all SCR records of prior reports, including legally sealed reports, and document such. Within 5 business days, GCDSS will review its own CPS record(s) that apply to the prior reports, including legally sealed unfounded and family assessment response reports.



Issue:

Pre-Determination/Assessment of Current Safety/Risk

Summary:

The case was pre-determined to the assessment of safety and risk. The record showed conversations with some of the children, but information was missing regarding safety and risk factors.

Legal Reference:

18 NYCRR 432.2 (b)(3)(iii)(b)

Action:

GCDSS will make an adequate assessment of safety and risk to all children in the household, and continue an on-going assessment of safety and risk throughout the length of the investigation

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
07/11/2016	Sibling, Male, 12 Years	Other Adult - Mother of SS4 and SS5, Female, 32 Years	Inadequate Guardianship	Unsubstantiated	Yes
	Sibling, Male, 11 Years	Other Adult - Mother of SS4 and SS5, Female, 32 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 14 Years	Other Adult - Mother of SS4 and SS5, Female, 32 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 12 Years	Other Adult - Parent Substitute, Male, 39 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 11 Years	Other Adult - Parent Substitute, Male, 39 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 14 Years	Other Adult - Parent Substitute, Male, 39 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 12 Years	Other Adult - Mother of SS4 and SS5, Female, 32 Years	Excessive Corporal Punishment	Unsubstantiated	
	Sibling, Male, 12 Years	Other Adult - Mother of SS4 and SS5, Female, 32 Years	Lacerations / Bruises / Welts	Unsubstantiated	

Report Summary:

An SCR report alleged SS4 refused to go home on the bus for fear of being hit. He was hit by his parents with a belt as punishment, causing a bruise on his right leg. His mother refused to pick him up from school or make a plan for his care.

Report Determination: Unfounded

Date of Determination: 08/09/2016

Basis for Determination:

CCDSS unsubstantiated the allegations within the report as the child's bruise was inconsistent with his explanation. It was determined the child had behavioral issues as well as mental health issues, which the parents were addressing for the child, using both inpatient and outpatient services.

OCFS Review Results:

CCDSS completed the 7-day Safety Assessment and a CPS history check timely. CCDSS appropriately made a Preventive Services referral for the family. The record did not reflect attempts were made to speak with a subject of the report in person. Although the biological father was made aware of the report, the record does not show he was provided with the required written notification.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:

Face-to-Face Interview (Subject/Family)



Summary:

Although a subject of the report was spoken to over the telephone, there were no documented attempts to interview him in person.

Legal Reference:

18 NYCRR 432.2(b)(3)(ii)(a)

Action:

A full Child Protective investigation shall include face-to-face interviews with subjects of the report and family members of such subjects, including children named in the report. Such interviews or reasons why an interview was not possible should be documented in progress notes.

Issue:

Failure to provide notice of report

Summary:

Although the subjects were provided written notice of the report, there was no documentation that the father was provided with written notice at any point during the investigation.

Legal Reference:

18 NYCRR 432.2(b)(3)(ii)(f)

Action:

CCDSS will mail or deliver notification letters to subject(s), parent(s) and other adults named in the report within the first seven days following the receipt of the report.

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
05/23/2016	Sibling, Male, 13 Years	Other Adult - BF of SS, Male, 34 Years	Inadequate Guardianship	Unsubstantiated	Yes
	Sibling, Male, 13 Years	Mother, Female, 33 Years	Inadequate Food / Clothing / Shelter	Unsubstantiated	
	Sibling, Male, 13 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated	
	Sibling, Male, 13 Years	Mother, Female, 33 Years	Lacerations / Bruises / Welts	Unsubstantiated	
	Sibling, Female, 10 Years	Mother, Female, 33 Years	Inadequate Food / Clothing / Shelter	Unsubstantiated	

Report Summary:

An SCR report alleged the mother was failing to provide a minimum degree of care for the 13yo SS and 9yo SS. The 13yo SS had a history of poor hygiene, which caused him to have body odor. The concern was addressed with the mother, but the hygiene issue continued to be a problem. The 13yo SS slept in the living room because his room wasn't suitable or clean enough to sleep in. The family dog defecated on the 9yo SS' bed and the mother failed to clean it, causing the child to sleep in the living room. A subsequent report alleged the parents would hit the 13yo SS with a spatula for unknown reasons. He had a bruise on his face and his mother dragged him by the shirt for not taking a medication.

Report Determination: Unfounded

Date of Determination: 07/14/2016

Basis for Determination:

During the investigation, the home was observed to have minimal clutter, no odor, and without evidence of animal feces. The children did not reveal any concerns during their interviews. Investigation revealed that the SS slept in the living



room because they chose to, not because they couldn't sleep in their rooms. The children stated they were spanked, but bruises or marks were never left as a result. The children did not appear to be fearful around their parents.

OCFS Review Results:

GCDSS completed interviews with the children and mother that were appropriate and assessed overall risk and safety. The CPS history check was not completed timely. The father, an alleged subject, was not interviewed regarding all safety and risk factors.

Are there Required Actions related to the compliance issue(s)? Yes No

Issue:

Review of CPS History

Summary:

A CPS history check was not documented for the family until 2 days after the receipt of the report.

Legal Reference:

18 NYCRR 432.2(b)(3)(i)

Action:

Within one business day, GCDSS will review SCR records pertaining to all prior reports involving members of the family, including legally sealed unfounded reports where the current report involves a subject of the unfounded report, a child named in the unfounded report or a child's sibling named in the unfounded report. The history check should be documented in progress notes accordingly.

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
12/09/2015	Sibling, Male, 12 Years	Mother, Female, 33 Years	Educational Neglect	Unsubstantiated	No
	Sibling, Male, 12 Years	Mother, Female, 33 Years	Inadequate Guardianship	Unsubstantiated	

Report Summary:

An SCR report alleged the 12yo SS missed 17 days and was late 17 times during the 2015-2016 school year and was failing as a result. The 12yo SS was a special education student and had an individualized education plan. He received educational support, counseling, and speech and language services. He had extreme behaviors and tantrums in and out of school. His excessive absences and late arrivals caused the child to act out worse when he was present at school. He was not meeting educational goals and was falling behind as a result. The mother was aware and did not intervene to rectify the issue. The roles of the father and siblings were unknown.

Report Determination: Unfounded

Date of Determination: 02/02/2016

Basis for Determination:

Investigation revealed the child did not attend school if he was tired, or depressed. The mother was able to provide medical notes for the majority of his absences. The child's grades were incomplete at the time of determination, therefore, there was no known negative effect on the child.

OCFS Review Results:

CCDSS interviewed the mother and children and contacted collaterals.

Are there Required Actions related to the compliance issue(s)? Yes No

CPS - Investigative History More Than Three Years Prior to the Fatality

The family had CPS history in both GCDSS and CCDSS.

6/13/05-3/12/06 OA Sub for IG, LMC of SS

11/1/05-3/16/06 OA Sub for LMC for SS



12/21/05/05-3/24/06 OA Sub for IG, LS, P/Nx for SS

9/19/06-12/19/06 BF Sub for IG and UnSub of OC

9/16/08-10/21/08 BF UnSub for PD/AM, IG, LS of other CHN

10/19/08-12/27/08 BF Sub for IG, and PD/AM of SS and OC

1/31/09-3/17/09 OA UnSub for IG of SS

4/12/09-6/24/09 OA Sub for IG and LS for SS and OC

6/7/09-9/11/09 BF Unsub for IG, of SS

7/16/09-8/24/09 OA UnSub for IG, LS for SS and OC

2/9/10-2/26/10 OA UnSub for IG, LMC, XCP and L/B/W of SS

8/31/10-2/11/11 BF UnSub for SA of SS

1/12/11-4/19/11 OA UnSub for IG, SA, XCP of SS and OC

1/23/11-10/27/11 BF UnSub for SA of OC

7/7/11-10/3/11 OA UnSub for IG of SS and OC

9/12/11-9/27/11 OA UnSub for IG of SS

12/12/11-1/31/12 OA UnSub for XCP, IG, C/T/S of SS and XCP of OC

1/9/12-3/7/12 OA UnSub for XCP, IG, and C/T/S of OC, SS

3/2/12-4/5/12 OA UnSub for IG of SS

7/31/12-10/29/12 OA Unsub for IG, LMC, LS, PD/AM, and L/B/W for SS and OC

2/11/13-5/23/13 OA UnSub for PD/AM and IG of SS

2/28/13-4/11/13 OA and BF UnSub for IG and OTH/COI of OC

9/2/13-12/4/13- (FAR) OA and BF regarding IG and IF/C/S of SS

12/5/14-9/18/15 (FAR) OA for IG, L/B/W, LMC and S/D/S for SS

2/6/15- 10/9/15 OA UnSub for IG of SS

3/24/15- 10/11/15 OA UnSub for IG, PD/AM, CD, L/B/W, LS of SS

7/20/15-11/3/15 BF UnSub for IG and PD/AM of SS



9/2/15-11/3/15 OA UnSub for IG, PD/AM, CD, B/S, LS of SS

Known CPS History Outside of NYS

There was no known CPS history outside of NYS.

Preventive Services History

The father and mother of the 13-year-old and 14-year-old surviving siblings had open Preventive Services cases in Columbia County from 08/16/05 until 06/14/18. The initial Preventive case was opened due to concerns revolving around LS and LMC regarding the children. In 2008, a Preventive Case was opened as a result of an order of protection, after a physical altercation between the parents. The children resided with their maternal grandmother at that time, as there were additional concerns of parental drug abuse. The father was involved in three of the services cases, until the couple separated in 2012. After the court ordered services were completed, the father's contact with the siblings was limited; however, the mother was provided with additional Preventive Services cases between 2015- 2018, due to the 14-year-old sibling's mental and behavioral health concerns and the mother's struggles to control the child's behaviors. As a result of the child's behaviors, he was placed in residential congregate care after being deemed a PINS. He was in placement from 06/26/17- 09/25/17, during which time the mother was active in his case planning, and was compliant with Services. The Preventive Stages contained several FASPs that were completed with accuracy, and plan amendments were appropriately documented.

Legal History Within Three Years Prior to the Fatality

Was there any legal activity within three years prior to the fatality investigation? There was no legal activity

Recommended Action(s)

Are there any recommended actions for local or state administrative or policy changes? Yes No

Are there any recommended prevention activities resulting from the review? Yes No